

FORM PTO-1390 (REV 12-97)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DATE: September 27, 2001	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				ATTORNEY'S DOCKET NUMBER 54676US002	
				U.S. APPLICATION NO. (if known, see 37 CFR 1.52) 09/937587	
				PRIORITY DATE CLAIMED	
INTERNATIONAL APPLICATION NO. PCT/US99/06918		INTERNATIONAL FILING DATE 30 March 1999			
TITLE OF INVENTION ADHESION-ENHANCING SURFACES FOR MARKING MATERIALS					
APPLICANT(S) FOR DO/EO/US Sundar J. Rajan, Roberta E. Harelstad, Joey L. Reule					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3.	<input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).			
4.	<input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.			
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2)).			
	<input checked="" type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).			
	<input checked="" type="checkbox"/>	has been transmitted by the International Bureau.			
	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US)			
6.	<input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).			
7.	<input checked="" type="checkbox"/>	Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	<input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).			
	<input type="checkbox"/>	have been transmitted by the International Bureau.			
	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.			
	<input checked="" type="checkbox"/>	have not been made and will not be made.			
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).			
10.	<input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11. to 16. below concern other document(s) or information included:					
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	<input checked="" type="checkbox"/>	A FIRST preliminary amendment.			
	<input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.			
14.	<input type="checkbox"/>	A substitute specification.			
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.			
16.	<input checked="" type="checkbox"/>	Other items or information: Preliminary Amendment			

Certificate of Express Mailing

Under 37 CFR 1.10, I certify that this entry of a PCT application into US National Stage is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Express Mail Mailing Label No.

EL596983845US

Date of Deposit

September 27, 2001

Signature of Person Mailing Application

Amber Nicholson

Printed Name of Person Mailing Application

Amber Nicholson

U.S. APPLICATION NO. (if known) 097/937587 C.F.R. 1.5		INTERNATIONAL APPLICATION NO.: PCT/US99/06918		ATTORNEY'S DOCKET NUMBER 54676US002					
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$860 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .. \$690 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (a)(2)) \$710 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100 ENTER APPROPRIATE BASIC FEE AMOUNT =				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">Calculations</th> <th style="width:50%;">PTO Use Only</th> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">\$ 860</td> <td></td> </tr> </table>		Calculations	PTO Use Only	\$ 860	
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\$ 860									
Surcharge of \$ 130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$					
Claims	Number Filed	Number Extra	Rate						
Total Claims	36 -20 =	= 16	x \$ 18	\$ 288					
Independent Claims	9 -3=	= 6	x \$ 80	\$ 480					
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)				x \$ 270					
TOTAL OF ABOVE CALCULATION =				\$ 1628					
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$					
SUB TOTAL =				\$ 1628					
Processing fee of \$ 130 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).				\$					
TOTAL NATIONAL FEE =				\$ 1628					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$					
TOTAL FEES ENCLOSED =				\$ 1628					
				Amount to be refunded	\$				
				charged	\$ 1628				
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3723</u> in the amount of \$ <u>1628.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3723</u> A duplicate copy of this sheet is enclosed NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									

Send All Correspondence To:

Signature <i>Carolyn A. Fischer</i>	
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Address: Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427	

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